
[EVIA & LEBA Monthly Compliance Meeting](#)

0830 Wednesday 06th July 2022

Virtual Meeting via MS_Teams - [Click here to join the meeting](#)

Please do suggest ideas around the meeting usefulness, format, guests, regulators and subgroups [Reporting; KYC&AML; Monitoring]

1. Matters arising

- a. Member comments
- b. Response on granularity within FCA RFI on cost of Data - *Wholesale Data – Trade Data Review; Financial Survey Part 2: Annex 1 - Questions accompanying the financial template*
 - i. [Qualitative questionnaire.docx](#)
 - ii. [Annex_1.docx](#)
 - iii. [Pricing_template.xlsx](#)
 - iv. [Financial_Template.xlsx](#)
- c. [Beyond the TPR; navigating landing slots and regulatory expectation: 02July2022.pdf](#) – timing and scope




2. Standing Items

- a. Reporting Topics
 - i. Non-SEF UTI Project - [CFTC Rewrite Global UTI.pdf](#)
 - ii. DTCC/SEF Reporting
 - iii. Consolidated Tapes; MEFROC - [FIX Trading Community - CT for FI Session 10.pdf](#)
 - iv. REMIT Reporting OMP Discussions
 1. [DRAFT_minutes_Joint roundtable meeting with AEMPs, OMPs and RRM's_final.docx](#)
 2. [DRAFT_minutes_OMP's roundtable Parallel Session Brokers_final.docx](#)
 3. [DRAFT_minutes_OMP's roundtable meeting_final.docx](#)
 - v. [Wholesale Markets and MiFID Review – where are we in July 2022.pdf](#)
- b. Exchange Blocks
 - i. [ESMA will not publish August systematic internaliser regime data for non-equity instruments other than bonds and CTP data; 28 June 2022.pdf](#)
 - ii. Exchange Rules, Fines, and constraints
- c. Conduct
 - i. FMSB issues final statement of good practice on trading platform disclosures
 - ii. FMSB updated Market Abuse typology & [Note on the FMSB Annual Report 2022.pdf](#)












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- iii. Digital Assets / MICA / DTI&FIGI
 - iv. Broker Communications via social media; S&S Webinar - [PDF Notes from; Simonds&Simonds on WhatsApp; Don't shoot the messenger; 05 July 2022.pdf](#)
 - v. [PDF](#) FCA's work on market abuse and manipulation
 - vi. [SYSC Chapter 19G; MiFIDPRU Rem Code; SMAS&C.pdf](#) – FCA/EVIA Calls on IFPR
 - vii. FCA CryptoSprint outputs; *key themes emerging from its first policy-focused [CryptoSprint events](#)*
 - viii. [PDF](#) [EU finalises DLT Pilot Regime; 17June2022.pdf](#) + [EU provisional interinstitutional deal reached also on MiCA Regulation; 30June2022.pdf](#)
 - ix. [PDF](#) [Crypto Compliance - Elliptic Connect.pdf](#)
 - x. [PDF](#) [Update on XTX Markets ongoing class action against Currenex State Street HC Tech and Goldman Sachs over "jump-in-line" privileges; 02 July 2022.pdf](#)
 - xi. [PDF](#) [ESMA Overview of planned consultation papers 2022; 28June2022.pdf](#)
 - xii. [PDF](#) [Treasury Requests Comment on Trade Reporting in Government Securities; 24June2022.pdf](#)
 - xiii. [PDF](#) [FINRA Proposes Trade Reporting for OTC Options; 24June2022.pdf](#)
 - xiv. [PDF](#) [What will operational resilience look like going forward; 27May2022.pdf](#)
 - xv. [PDF](#) [Wolfsberg Group paper on Effectiveness through Collaboration; 21June2022.pdf](#)
- d. **Credit**
 - i. Automated Credit Schema; LEBA Press Release
 - ii. Brokerage under Atlantis/FIA-Tech
 - e. **LIBOR and RFR**
 - i. Compliance Dates
 - ii. Gold Swaps/ HQLA/ FMSB Publications
 - iii. Term Structures – Request for Advocacy into ARRC/FED
 - iv. [PDF](#) [LIBOR Transition Update; 05July2022.pdf](#)
 - f. **FX**
 - i. Global Code attestations and disclosures
 - ii. FX Swap Reporting
 - g. **Commodities**
 - i. EFET Compliance Meeting
 - ii. LME S166
 - iii. ACER OMP Roundtables (see above / section)

Annexes, Links and Details

3. Sanctions

- a. Euroclear & FOB Settlement (v Operational Windows Opening)
- b. NDF Operations
- c.  [Conduct, Sanctions and Enforcement News; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
- d.  [Governance Lessons Learned; experience from implementation of Russian sanctions; 17 June 2022.pdf](#)
- e.  [EVIA Notes on periodic TAs call on CMU and related issues; 21June2022.pdf](#)



4. Latest FCA Policy Development updates

- a.  [Note on FCA; MiFID2+ Consultation on equity market rule changes to improve trade execution and post-trade transparency; cp22-12.pdf](#)
- b.  [FCA; Updated webpage; Change in control; 04July2022.pdf](#)
- c.  [Notes on the call with FCA on the scope and application of the MRT regime to non-SNI IFs and TVs; 04th October 2021 \(1\).pdf](#)
- d.  [FCA portfolio letter; Data reporting services providers; 31 May 2022.pdf](#)
- e.  [Latest edition of the FCA Regulatory Initiatives Grid; 27May2022.pdf](#)
- f.  [FCA Consultation Paper 22_10 'Quarterly Consultation Paper No. 36'; 17 June 2022 .pdf](#)
- g.  [fca-uk-listing-regime-proposal.pdf](#)
- h.  [FCA data strategy update 2022; 23June2022.pdf](#)
- i.  [FCA evaluation report – Supporting innovation in ESG data and disclosures; the digital sandbox sustainability pilot; 24June2022.pdf](#)
- j.  [FCA Feedback Statement 22_4; ESG integration in UK capital markets; 02July2022.pdf](#)
- k.  [FCA's work on market abuse and manipulation; 17June2022.pdf](#)
- l. [The FCA Business Plan; what does it mean from a governance perspective; 16 June 2022.pdf](#)
- m. [The FCA's second phase of reform of the Listing Rules: Increasing transparency and encouraging more market participation;](#)
- n.









5. UK Government

- a.  [Extracts from TSC Report; Future of financial services regulation; First Report of Session 2022–23; June 2022.pdf](#)
- b. [Treasury Committee urges Government to remember lessons of financial crisis in report on Future of Financial Services Regulation; 16 June 2022.pdf](#)
- c.  [Report from the House of Lords' European Affairs Committee warns UK against hasty financial sector reforms; 22June2022.pdf](#)
- d.  [House of Commons' European Scrutiny Committee inquiry looks at regulating after Brexit; 14 June 2022.pdf](#)
- e.  [HMT CP managing the failure of systemic digital settlement asset firms; 01Jun2022.pdf](#)
- f.  [HMT Policy Statement 'Critical third parties to the finance sector'; 08June2022.pdf](#)
- g.  [HMT; UK-Japan Financial Regulatory Forum 2022; 17 June 2022.pdf](#)
- h.  [HMT responds to consultation on MLRs 2017; 17June2022.pdf](#)
- i.  [Draft SI; The Financial Services Act 2021; IFPR; 14June2022 .pdf](#)
- j.  [Treasury Requests Comment on Trade Reporting in Government Securities; 24June2022.pdf](#)
- k.  [Government reluctant to engage with the EU on financial services says Lords Committee; 24Jun2022.pdf](#)
- l.  [UK publishes review of anti-money laundering regime; 24June2022.pdf](#)





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- m. [Bailey opposes Treasury plot to overrule financial regulators; 02July2022.pdf](#)
 - n. [HM Treasury policy paper; Review of the UK's AML_CFT regulatory and supervisory regime; 28 June 2022.pdf](#)
 - o. [Amendments to the UK MLRs – 15 key changes; 26June2022.pdf](#)
 - p. [The Queen's Speech 2022; 24 June 2022.pdf](#)
 - q.
6. IFPR – IFR – Prudential Supervision (Op Risk and Resilience)
- a. [What will operational resilience look like going forward; 27May2022.pdf](#)
 - b. [BCBS second public consultation \(due 30 Sept 2022\) on the prudential treatment of banks' cryptoasset exposures; 30June2022.pdf](#)
 - c. [EVIA Prudential Roundup; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
 - d. [EVIA Prudential Roundup; Week 26; 04 July 2022.pdf](#)
7. EU Rules and ESAs
- a. [Letter from ESMA Chair to EC; Prioritisation of 2022 ESMA deliverables .pdf](#) - ESMA postpones buy-in regime under CSDR by three years;
 - b. [AFME; Vision for the future of EU wholesale financial markets; 22June2022.pdf](#)
 - c. [ECOFIN Legislative Files Progress Note; 17June2022.pdf](#)
 - d. [Notes from Monthly Discussion with AFM; 16June2022.pdf](#)
 - e. [Commission adopts and updates equivalence decisions for five non-EU jurisdictions; 17June2022.pdf](#)
 - f. [Commission adopts two equivalence decisions for Chinese and Israeli CCPs; 23June2022.pdf](#)
 - g. [Commission report assessing whether viable technical solutions have been developed for the transfer by PSAs of cash and non-cash collateral as variation margins; 17June2022.pdf](#)
 - h. [ESAs joint report on the withdrawal of authorisation for serious breaches of AML_CFT rules; 01June2022.pdf](#)
 - i. [ESAs propose extending temporary exemptions regime for intragroup contracts during EMIR review; 13June2022.pdf](#)
 - j. [ESMA final report on the MiFID II framework on best execution reports by investment firms; 16May2022.pdf](#)
 - k. [ESMA Overview of planned consultation papers 2022; 28June2022.pdf](#)
 - l. [ESMA proposes EUR 1 billion increase of the commodity derivatives EMIR clearing threshold; 10June2022.pdf](#)
 - m. [ESMA publishes technical standards to suspend the CSDR buy-in regime; 02June2022.pdf](#)
 - n. [ESMA will not publish August systematic internaliser regime data for non-equity instruments other than bonds and CTP data; 28 June 2022.pdf](#)
 - o. [European Commission considers Euro-clearing overhaul; 17June2022.pdf](#)
 - p. [Commission adopts two equivalence decisions for Chinese and Israeli CCPs; 23June2022.pdf](#)
 - q. [Note on ECB opinion on proposed regulation amending MiFIR as regards enhancing market data transparency; 01June2022.pdf](#)
 - r. [VR ESMA speech 'Towards delivering the CMU'; FESE; 01June2022.pdf](#)
 - s. [ESMA Q&As - list of questions currently under development](#)
 - t. The Commission has adopted the EMIR reporting RTS and ITS. These can be found at the following links:
 - i. [COMMISSION IMPLEMENTING REGULATION \(EU\) /... laying down implementing technical standards for the application of Regulation \(EU\) No 648/2012 of the](#)

- European Parliament and of the Council with regard to the standards, formats, frequency and methods and arrangements for reporting
- ii. [COMMISSION DELEGATED REGULATION \(EU\) /... supplementing Regulation \(EU\) No 648/2012 of the European Parliament and of the Council with regard to regulatory technical standards specifying the minimum details of the data to be reported to trade repositories and the type of reports to be used](#)
- iii. [COMMISSION DELEGATED REGULATION \(EU\) /... supplementing Regulation \(EU\) No 648/2012 of the European Parliament and of the Council with regard to regulatory technical standards specifying the procedures for the reconciliation of data between trade repositories and the procedures to be applied by the trade repository to verify the compliance by the reporting counterparty or submitting entity with the reporting requirements and to verify the completeness and correctness of the data reported](#)
- iv. [COMMISSION IMPLEMENTING REGULATION \(EU\) /... amending the implementing technical standards laid down in Implementing Regulation \(EU\) No 1248/2012 as regards the format for applications for registration as trade repositories and for applications for extension of registration as trade repositories](#)
- v. [COMMISSION DELEGATED REGULATION \(EU\) /... amending the regulatory technical standards laid down in Delegated Regulation \(EU\) No 151/2013 by further specifying the procedure for accessing details of derivatives as well as the technical and operational arrangements for their access](#)
- vi. [COMMISSION DELEGATED REGULATION \(EU\) /... amending the regulatory technical standards laid down in Delegated Regulation \(EU\) No 150/2013 as regards the details of the applications for registration as a trade repository and for applications for extension of registration as a trade repository](#)
- u.  [European Regulatory & Political News; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
- v.  [European Regulatory & Political News; Week 26; 04 July 2022.pdf](#)

8. Conduct/ Enforcement/ PFOF

- a.  [HM Treasury policy paper; Review of the UK's AML_CFT regulatory and supervisory regime; 28 June 2022.pdf](#)
- b.  [Amendments to the UK MLRs – 15 key changes; 26June2022.pdf](#)
- c.  [Notes from; Simonds&Simonds on WhatsApp; Don't shoot the messenger; 05 July 2022.pdf](#)
- d.  [Deutsche Bank's Leadership Takes Financial Hit on Staff Use of WhatsApp; 27June2022.pdf](#)
- e.  [Credit Suisse has drawn a line in the sand over bankers' use of encrypted messaging applications like WhatsApp.pdf](#)
- f.  [REMIT; CRE fines Engie SA €80,000 for insider trading; 25June2022.pdf](#)
- g.  [Conduct, Sanctions and Enforcement News; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
- h.  [Conduct, Sanctions and Enforcement News; Week 26; 04 July 2022.pdf](#)

9. AML / KYC/ Reg Tech

- a. JMLSG – Discussion
- b.  [0622-JWG-RRDS-34-DRTF6-v1.2-Published.pdf \[REGTECH\]](#)
- c.  [UK publishes review of anti-money laundering regime; 24June2022.pdf](#)
- d.  [Amendments to the UK MLRs – 15 key changes; 26June2022.pdf](#)
- e.  [HMT responds to consultation on MLRs 2017; 17June2022.pdf](#)
- f.  [ADB has found that an increase in the fintech development level has a significant association with decrease in crime because fintech reduces cash holdings; 24 July 2022.pdf](#)

- g. [!\[\]\(065aacad479feea1b3f501fa02b79a7a_img.jpg\) Cyber-attacks are on the increase; reminder of FCA and PRA expectations on regulated firms; 17 June 2022.pdf](#)
 - h. [!\[\]\(f90d8b6badff022f4fa9e71b17a20969_img.jpg\) Wolfsberg Group paper on Effectiveness through Collaboration; 21 June 2022.pdf](#)
 - i. [!\[\]\(aedc732acbf023768f1c9cdaebdbc316_img.jpg\) Wolfsberg Group paper; Effectiveness through Collaboration; 21 June 2022.pdf](#)
 - j. [!\[\]\(76d395b5ba40c2fcb8efc1d8802b90f2_img.jpg\) Outcomes FATF Plenary, 14-17 June 2022.pdf](#)
 - k. [!\[\]\(958302261281a004a5c61bd3a0252d0b_img.jpg\) FATF follow up report and technical compliance re-rating of the UK; 17 June 2022.pdf](#)
 - l. [!\[\]\(1feb34783a458dc8a9947808fbe07d90_img.jpg\) EBA final report on guidelines on policies and procedures in relation to compliance management and the role and responsibilities of the AML_CFT compliance officer; 17 June 2022.pdf](#)
 - m. [!\[\]\(110653b75fcec8d107e4c0c489903595_img.jpg\) EBA; Guidelines on AMLCFT compliance officers; 17 June 2022.pdf](#)
 - n. [!\[\]\(72b14bd552cd234e38796f2a9a16d9cf_img.jpg\) ACM regulator fines PZEM; 21 June 2021.pdf](#)
 - o. [!\[\]\(aa6ca21b90c433f26b35599c5df4fd25_img.jpg\) CNMC Fine of 4.9 million to Enel Green for abusing its dominant position; 04 July 2022.pdf](#)
 - p. [!\[\]\(8e938cc0ab430b62cf8a2153e14529c4_img.jpg\) CRE has levied a fine of 80,000 Euros against Engie; 02 July 2022.pdf](#)
- 10. ESG Topics, D&I & Disclosures**
- a. [!\[\]\(24f26c46c53976cd3338d11c6dbca2a4_img.jpg\) ESG Regulatory Roundup for May and June 2022; 04 July 2022.pdf](#)
 - b. [!\[\]\(5fec18590cee79de7466f48a5b19e7ae_img.jpg\) Commission letter to chairs of ESAs on amendments to RTS under the SFDR related to product exposure to gas and nuclear activities; 17 June 2022.pdf](#)
 - c. [!\[\]\(7c2046aa53ed8d08b8785465509eceb5_img.jpg\) European Parliament ECON Committee adopts report on European Green Bonds proposal; 22 May 2022.pdf](#)
 - d. [!\[\]\(43d0f4685b18833055d282ab4c13a7a2_img.jpg\) Basel Committee issues principles for the effective management and supervision of climate-related financial risks; 17 June 2022.pdf](#)
 - e. [!\[\]\(4cf5e39ee9365bc349e2cee7e9b9bfe4_img.jpg\) europaean-green-bond-regulation-june-2022.pdf](#)
 - f. [!\[\]\(a175de13a8c8cd62377df2a19de6771c_img.jpg\) AFME responds to HM Government's Call for Evidence on its Update to the Green Finance Strategy; 22 June 2022.pdf](#)
 - g. [!\[\]\(8a3ae020f0e9a1182416df106b02155e_img.jpg\) Bank of England publishes results of Climate Biennial Exploratory Scenario \(CBES\); 04 July 2022 .pdf](#)
- 11. Energy & ACER**
- a. [!\[\]\(32c15f9d0330c0e3478f9a43d57bd2d0_img.jpg\) LEBA; Consultation Input Comments Welcome; ACER and CEER revisit to consult on the functioning of the electricity forward market in the .pdf](#)
 - b. [!\[\]\(2c6b636e54fa72fba06bef7826ba9eb2_img.jpg\) DRAFT_minutes_Joint roundtable meeting with AEMPs, OMPs and RRM's_final.docx](#)
 - c. [!\[\]\(9b2eb9d5193241dc6d1b5d02570d1856_img.jpg\) DRAFT_minutes_OMP's roundtable Parallel Session Brokers_final.docx](#)
 - d. [!\[\]\(63823f630ff3838cee787c8c20f84ae3_img.jpg\) DRAFT_minutes_OMP's roundtable meeting_final.docx](#)
 - e. [!\[\]\(056cfd450542d60e7a915770e4a40087_img.jpg\) ESMA proposes EUR 1 billion increase of the commodity derivatives EMIR clearing threshold; 10 June 2022.pdf](#)
 - f. [!\[\]\(a89029642873d54d5f365c4aa5a96e0e_img.jpg\) Metals D&T WG Agenda 28.06.22.pdf](#)
 - g. [!\[\]\(6119c9c9b521753f3a767ef24ca0fbd6_img.jpg\) Correggio-Briefing_21.06.2022_final.pdf](#)
 - h. [!\[\]\(5aa39e9a4c2812d9c26e77a30e05f6bc_img.jpg\) EFET TC WG Agenda London 29-30 June 2022.pdf](#)
 - i. [!\[\]\(e4405f08714a3c3d58f4ba73c58b5eb5_img.jpg\) ACM regulator fines PZEM; 21 June 2021.pdf](#)
 - j. [!\[\]\(4114ad778a24e84165668a0dbe54ddbd_img.jpg\) CNMC Fine of 4.9 million to Enel Green for abusing its dominant position; 04 July 2022.pdf](#)
 - k. [!\[\]\(928f7e489408eb3096fdfe428162b649_img.jpg\) CRE has levied a fine of 80,000 Euros against Engie; 02 July 2022.pdf](#)
 - l. [!\[\]\(3d66bbc7e64ef6e300f072a2c8e7364e_img.jpg\) Energy and Commodities Roundup; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
 - m. [!\[\]\(d11eb06be7b9aa9e3fe9781b149271c7_img.jpg\) Energy and Commodities Roundup; Week 26; 04 July 2022.pdf](#)
 - n. [!\[\]\(3f6f5fd3dab3a6eebc46b172c5a0c9aa_img.jpg\) Cover from the Economist; the worst energy crisis of modern age; 24 June 2022.pdf](#)
- 12. Market Regs: MiFID2.2/ MiFIR/ EMIR/ SFTR / CSDR**
- a. [Demystifying Derivatives Trading in the EU; ISDA](#)

- b. [PDF ECB opinion on proposed regulation amending MiFIR as regards enhancing market data transparency; 01 June 2022.pdf](#)
- c. [PDF Interest Rate Derivatives Trading Activity Reported in EU, UK and US Markets; First Quarter of 2022; 21 June 2022.pdf](#)
- d. [PDF Key Trends in the Size and Composition of OTC Derivatives Markets in the Second Half of 2021.pdf](#)
- e. [PDF ISDA paper examines the dynamics of derivatives trading in the EU & US; 64% of IRD traded notional reported in the EU was executed on TVs Q4 2021; 27 June 2022.pdf](#)
- f. [PDF ICMA practice recommendations should also be viewed in the context of the EU's CSDR Settlement Discipline regime; 22 June 2022.pdf](#)
- g. [PDF AFME; Vision for the future of EU wholesale financial markets; 22 June 2022.pdf](#)
- h. [PDF European Commission considers Euro-clearing overhaul; 17 June 2022.pdf](#)
- i. [PDF On a 'sea change' in regulators' approach to transaction reporting; 21 June 2022 .pdf](#)
- j. [PDF Global Post Trade Meeting - 14-06-2022 \(Uploaded\).pdf](#)
- k. [PDF What Drives Repo Haircuts; Bank of England Staff Working Paper No985; 19 June 2022.pdf](#)
- l. [PDF Recent Focus on TVTIC Structure and Validity;.pdf](#)
- m. [PDF CDIDE202233REV1_CDIDE - IRG_CDE_Questions_June_2022.pptx](#)
- n. [PDF Market Regs, Post Trading and Benchmarks News & Events; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
- o. [PDF Market Regs, Post Trading & Benchmarks News & Events; Week 26; 04 July 2022.pdf](#)
- p. [PDF Kieran Harris IDB Data Review Update; Exchanges Review Data Update; 27 June 2022.pdf](#)























13. Benchmarks and LiBOR Topics

- a. [PDF LiBOR Transition Update; 05 July 2022.pdf](#)
- b. [PDF USD STIR Futures; SOFR progress ... but still too much LIBOR; 25 June 2022.pdf](#)
- c. [PDF ARRC issues recommendations for contracts linked to USD LIBOR ICE Swap Rate; 20 June 2022.pdf](#)
- d. [ISDA-Clarus RFR Adoption Indicator: May 2022](#)
- e. [ESTR; Volume and term rate; EMMI Launches a Term Rate; Notable OIS Volume Concentration; 19 June 2022.pdf](#)
- f. On July 11, President John C. Williams and Chief Executive Nikhil Rathi of the Financial Conduct Authority will co-host a conference on the industry's transition away from LIBOR, covering the progress made, lessons learned, and priorities for the final year until the end of the U.S. dollar LIBOR. Learn more: <https://nyfed.org/3a2Z6Ro>.
- g. Speakers include John C. Williams, Nikhil Rathi, [Sean Tully](#), [Chris McAlister](#), [Jack Hattem](#), [Tal Reback](#), [Maria Douvas Orme](#), [Emilio Jimenez](#), [Edwin Schooling Latter](#), [Paul Richards](#), [Oliver P. Bader](#), [F. Scott Longo](#), Phil Whitehurst, [Tom Wipf](#), and [Sandie O'Connor](#).
- h. With the USD [LIBOR](#) end date only a year away, how much progress needs to be made in the transition to [SOFR](#)?
- i. ICMA's latest need to know on LIBOR transition for bonds. Listen to ICMA experts [Charlotte Bellamy](#) and [KATIE KELLY](#) for their leading insights into the recent developments in LIBOR transition for bonds.




14. FX and Money Markets

- a. [PDF FX Market Volumes and BOE Committees News; Week 24; 13th June 2022 to 19th June 2022.pdf](#)
- b. [PDF Update on XTX Markets ongoing class action against Currenex State Street HC Tech and Goldman Sachs over "jump-in-line" privileges; 02 July 2022.pdf](#)
- c. [PDF FX Markets and Rates News; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
- d. [PDF FX Markets and Rates News; Week 26; 04 July 2022 .pdf](#)

15. Digitalisation of Regulatory Reporting and Cryptoassets


- a.  [Digital Assets; Testimony to House Ag Comm by Vince McGonagle Director of the DMO & SEC Chair Gensler Reacts to Proposed Regulatory Scheme on Digital Assets; 24 July 2022.pdf](#)
- b. [Cover from the Economist; the worst energy crisis of modern age; 24June2022.pdf](#)
- c.  [HMT Policy Statement 'Critical third parties to the finance sector'; 08June2022.pdf](#)
- d.  [Borders blur in the regulation of digital assets; 10June2022.pdf](#)
- e.  [Crypto Compliance - Elliptic Connect.pdf](#)
- f.  [EU finalises DLT Pilot Regime; 17June2022.pdf](#)
- g.  [Everything you always wanted to know...and even what you don't want to know about Mica. .pdf](#)
- h.  [EU provisional interinstitutional deal reached also on MiCA Regulation; 30June2022.pdf](#)
- i.  [BIS; Recent implosions in the cryptocurrency markets indicate that long-warned-about dangers of decentralised digital money are now materialising; 21June2022.pdf](#)
- j.  [OECD recommendation on blockchain and DLT adopted; 20June2022.pdf](#)
- k. [JPMorgan Wants to Bring Trillions of Dollars of Tokenized Assets to DeFi \(coindesk.com\)](#)
- l.  [BIS Innovation Hub Unveils New Work; 19June2022.pdf](#)
- m.  [Circle Internet Financial, the issuer of the USDC stablecoin, has unveiled plans to issue a euro equivalent entitled Euro Coin from the US from June 30.pdf](#)
- n.  [Locking The Stablecoin Door After The Horse Has Spent All Of The Crypto On Carrots And Then Vanished Over The Fence; 17June2022.pdf](#)
- o.  [The Crypto Crash Is Another "Tulip Bubble" That Is Really Good News; David Birch; Forbes; 17June2022.pdf](#)
- p.  [BCBS second public consultation \(due 30 Sept 2022\) on the prudential treatment of banks' cryptoasset exposures; 30June2022.pdf](#)
- q.  [Virtual Assets – Travel Rule; 02 July 2022 .pdf](#)
- r.  [European Parliamentary Research Service; Business in the metaverse; 27June2022.pdf](#)
- s.  [Coming soon – The new Hong Kong VASP licensing regime; 02July2022.pdf](#)
- t. [FCA CryptoSprint outputs; On 29 June 2022, the FCA published the key themes emerging from its first policy-focused *CryptoSprint events* in May and June 2022. The main aim of the CryptoSprint was for the FCA to increase its understanding of emerging cryptoasset market practices and seek industry views on what an appropriate regulatory regime might look like.](#)
- u.  [All Metaverses Are Created Equal: Life, liberty, and the pursuit of consensus; David G.W. Birch.pdf](#)
- v.  [The Metaverse; 02 July 2022.pdf](#)
- w.  [European Parliamentary Research Service; Business in the metaverse; 27June2022.pdf](#)
- x.  [FinTech, SupTech & RegTech News; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
- y.  [FinTech, SupTech & RegTech News; Week 26; 04 July 2022.pdf](#)

16. US & International

- a.  [CFTC warns firms to fix swap data errors before the ReWrite; 18June2022.pdf](#)
- b. [CFTC targeting specific swap reporting errors](#)
- c.  [CFTC consultation on Friday around data collection for Swap Data Record Keeping and Reporting; 27June2022.pdf](#)
- d.  [CFTC Rewrite Global UTI.pdf](#)

- e. [PDF CFTC intro at ISDA in Mid-June: Non-SEF UTIs; 21June2022.pdf](#)
- f. [PDF Treasury Requests Comment on Trade Reporting in Government Securities; 24June2022.pdf](#)
- g. [PDF FINRA Proposes Trade Reporting for OTC Options; 24June2022.pdf](#)
- h. [PDF Tradeweb comment letter; s71422-20130948-300076.pdf](#)
- i. [PDF Market Participants Provide Input on SEC SBSEF Proposal; 21June2022.pdf](#)
- j. [PDF Bloomberg Comment Letter; s71422-20130961-300254.pdf](#)
- k. [PDF SIFMA-and-ISDA-on-the-Rules-Relating-to-SBS-Execution-and-Registration-and-Regulation-of-SBS-Execution-Facilities-June-2022.pdf](#)
- l. [PDF OCC Committee Reports on Risks to Federal Banking System; 27June2022.pdf](#)
- m. [PDF House Financial Services Committee Majority Staff Issues Recommendations in GameStop Report; 27June2022.pdf](#)
- n. Mark Uyeda Sworn In as New SEC Commissioner; *Mark T. Uyeda was [sworn into](#) office as a new SEC commissioner, filling a term that will expire on June 5, 2023.*
- o. *Barclays Capital Inc Settles FINRA Charges for Sending Inaccurate Trade Confirmations; A broker-dealer [settled](#) FINRA charges for sending trade confirmations to customers that were materially inaccurate.*
- p. [PDF HK SFC consults on enforcement-related amendments to Securities and Futures Ordinance; 20June2022.pdf](#)
- q. [PDF NAFMII publishes master agreement for CIBM bond lending and borrowing business; 20june2022.pdf](#)
- r. [PDF US and Asia Regulatory News; Weeks 24 & 25; 13th June 2022 to 25th June 2022.pdf](#)
- s. [PDF US and Asia Regulatory News; Week 26; 04 July 2022.pdf](#)
- t.

17. Open Consultations

- [The Bank of England last week published a Consultation Paper \(CP\) on the proposed changes to the derivatives clearing obligation.](#)  *The CP proposes to modify the scope of contracts subject to the derivatives clearing obligation to reflect the discontinuation of USD LIBOR from end-June 2023.*
It proposes:
 - ✓ to add OIS contracts referencing SOFR to the clearing obligation on 31 Oct 2022; and
 - ✗ remove contracts referencing USD Libor in Q2 2023.
- [PDF LEBA; Consultation Input Comments Welcome; ACER and CEER revisit to consult on the functioning of the electricity forward market in the .pdf](#)
- [PDF CFTC consultation on Friday around data collection for Swap Data Record Keeping and Reporting; 27June2022.pdf](#)
- [PDF Treasury Requests Comment on Trade Reporting in Government Securities; 24June2022.pdf](#)
- [PDF FINRA Proposes Trade Reporting for OTC Options; 24June2022.pdf](#)

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[PDF EVIA Weekly News; Week 26; 27th June 2022 to 02nd July 2022.pdf](#)

[PDF EVIA Weekly News; Week 25; 20th June 2022 to 25th June 2022.pdf](#)